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CA - Submission Package - CA2020MS0001O - (CA-20-0002) - Health Homes

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CMS-10434 OMB 0938-1188

Package Information

Package ID	CA2020MS0001O	Submission Type	Official
Program Name	California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)	State	CA
SPA ID	CA-20-0002	Region	San Francisco, CA
Version Number	1	Package Status	Submitted
Submitted By	Angeli Sus Lee	Submission Date	3/2/2020
		Regulatory Clock	90 days remain
		Review Status	Review 1

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Package Header

Package ID CA2020MS0001O
Submission Type Official
Approval Date N/A
Superseded SPA ID N/A

SPA ID CA-20-0002
Initial Submission Date 3/2/2020
Effective Date N/A

Reviewable Unit Instructions

State Information

State/Territory Name: California

Medicaid Agency Name: California Department of Health Care Services

Submission Component

☒ State Plan Amendment

☒ Medicaid

☐ CHIP

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Package Header

Package ID CA2020MS0001O

SPA ID CA-20-0002

Submission Type Official

Initial Submission Date 3/2/2020

Approval Date N/A

Effective Date N/A

Superseded SPA ID N/A

Reviewable Unit Instructions

SPA ID and Effective Date

SPA ID CA-20-0002

Reviewable Unit	Proposed Effective Date	Superseded SPA ID
Health Homes Intro	7/1/2020	CA-19-0013
Health Homes Geographic Limitations	7/1/2020	CA-19-0013

Submission - Summary

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Package Header

Package ID	CA2020MS0001O	SPA ID	CA-20-0002
Submission Type	Official	Initial Submission Date	3/2/2020
Approval Date	N/A	Effective Date	N/A
Superseded SPA ID	N/A		

Reviewable Unit Instructions

Executive Summary

Summary Description Including Goals and Objectives This California Department of Health Care Services' (DHCS) Health Homes Program (HHP) State Plan Amendment (SPA) was for the Group 1 County of San Francisco and the population criterion of Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED). Additional counties for Group 2 of Riverside and San Bernardino were added in SPA 19-0002 for the same population criterion of SMI or SED. Additional counties for Group 3 of Alameda, Kern, Los Angeles, Imperial, Sacramento, San Diego, Santa Clara and Tulare were added in SPA 19-0013 for the same population criterion of SMI or SED. Group 4 County of Orange is being added in this SPA 20-0002 for the same population criterion of SMI or SED. The HHP will utilize the Medi-Cal Managed Care (MCMC) infrastructure. Managed Care Plans (MCPs) will be responsible for the overall administration of the HHP. The HHP will be structured as a HHP network including MCP, one or more Community Based Care Management Entities (CB-CMEs), linkages to Medi-Cal Specialty Mental Health Plans, Community and Social Support Services. The HHP benefit authorized herein, will operate in conjunction with, and is subject to the terms of, the State's approved Section 1115 Demonstration, including any approved waiver of freedom-of-choice that enables the state to limit the HHP benefit to the MCMC Delivery System. The goals for HHP are: improve care coordination, integrate palliative care, strengthen community linkages and team-based care, improve the health outcomes of HHP members, and wrap increased care coordination around existing care as close to the member's usual point of care delivery as possible in the community. DHCS Objectives include: ensure sufficient provider infrastructure and capacity to implement HHP as an entitlement benefit, ensure HHP providers appropriately serve members experiencing homelessness, and increase integration of physical & behavioral health services. Group 1 County of San Francisco implemented SMI or SED January 1, 2019. Group 2 counties of Riverside and San Bernardino implemented SMI or SED July 1, 2019. Group 3 counties of Alameda, Kern, Los Angeles, Imperial, Sacramento, San Diego, Santa Clara and Tulare implemented January 1, 2020. Group 4 County of Orange will implement July 1, 2020.

Federal Budget Impact and Statute/Regulation Citation

Federal Budget Impact

	Federal Fiscal Year	Amount
First	2020	\$123276
Second	2021	\$2958632

Federal Statute / Regulation Citation

Section 2703 of the Patient Protection and Affordable Care Act

Supporting documentation of budget impact is uploaded (optional).

Name	Date Created	
CA SPA 20-0002 Fed Budget Impact Note	2/27/2020 2:45 PM EST	

Submission - Summary

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SPA ID CA-20-0002
Initial Submission Date 3/2/2020
Effective Date N/A

Reviewable Unit Instructions

Governor's Office Review

- ☐ No comment
- ☐ Comments received
- ☐ No response within 45 days
- ☒ Other

Describe The Governor's Office does not wish to review the SPA.

Submission - Medicaid State Plan

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

CMS-10434 OMB 0938-1188

The submission includes the following:

- ☐ Administration
- ☐ Eligibility
- ☒ Benefits and Payments
- ☒ Health Homes Program

Do not use "Create New Health Homes Program" to amend an existing Health Homes program. Instead, use "Amend existing Health Homes program," below.

- ☐ Create new Health Homes program
- ☒ Amend existing Health Homes program
- ☐ Terminate existing Health Homes program

California Health Homes Program Serious Mental Illness (SMI) o...

Health Homes SPA - Reviewable Units

Only select Reviewable Units to include in the package which you intend to change.

*

<input type="checkbox"/>	Reviewable Unit Name	In clu de d in An ot he r Su b mi ssi on Pa ck ag e	Source Type
<input checked="" type="checkbox"/>	Health Homes Intro	<input checked="" type="radio"/>	APPROVED
<input checked="" type="checkbox"/>	Health Homes Geographic Limitations	<input checked="" type="radio"/>	APPROVED
<input type="checkbox"/>	Health Homes Population and Enrollment Criteria	<input checked="" type="radio"/>	APPROVED
<input type="checkbox"/>	Health Homes Providers	<input checked="" type="radio"/>	APPROVED
<input type="checkbox"/>	Health Homes Service Delivery Systems	<input checked="" type="radio"/>	APPROVED
<input type="checkbox"/>	Health Homes Payment Methodologies	<input checked="" type="radio"/>	APPROVED

<input type="checkbox"/>	Health Homes Services	<input checked="" type="radio"/>	APPROVED
<input type="checkbox"/>	Health Homes Monitoring, Quality Measurement and Evaluation	<input checked="" type="radio"/>	APPROVED
			1 – 8 of 8

Submission - Public Comment

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Package Header

Package ID	CA2020MS0001O	SPA ID	CA-20-0002
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Approval Date	N/A	Effective Date	N/A
Superseded SPA ID	N/A		

Reviewable Unit Instructions

Indicate whether public comment was solicited with respect to this submission.

- ☒ Public notice was not federally required and comment was not solicited
- ☐ Public notice was not federally required, but comment was solicited
- ☐ Public notice was federally required and comment was solicited

Submission - Tribal Input

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

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Reviewable Unit Instructions

Name of Health Homes Program:

California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

One or more Indian Health Programs or Urban Indian Organizations furnish health care services in this state

- ☒ Yes
☐ No

This state plan amendment is likely to have a direct effect on Indians, Indian Health Programs or Urban Indian Organizations, as described in the state consultation plan.

- ☐ Yes
☒ No

Explain why this SPA is not likely to have a direct effect on Indians, Indian Health Programs or Urban Indian Organizations:

SPA 20-0002 would implement the additional enhanced care coordination HHP services for members experiencing serious mental illness or serious emotional disturbance with an effective date of July 1, 2020 in Orange County. Additionally, there are no tribes or Indian health programs in Orange County that would be impacted by this proposal.

Submission - Other Comment

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

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SPA ID CA-20-0002
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Reviewable Unit Instructions

SAMHSA Consultation

Name of Health Homes Program

California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

☒ The State provides assurance that it has consulted and coordinated with the Substance Abuse and Mental Health Services Administration (SAMHSA) in addressing issues regarding the prevention and treatment of mental illness and substance abuse among eligible individuals with chronic conditions.

Date of consultation

6/13/2018

Health Homes Intro

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Package Header

Package ID	CA2020MS0001O	SPA ID	CA-20-0002
Submission Type	Official	Initial Submission Date	3/2/2020
Approval Date	N/A	Effective Date	7/1/2020
Superseded SPA ID	CA-19-0013		
	System-Derived		

Reviewable Unit Instructions

Program Authority

1945 of the Social Security Act

The state elects to implement the Health Homes state plan option under Section 1945 of the Social Security Act.

Name of Health Homes Program

California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

Executive Summary

Provide an executive summary of this Health Homes program including the goals and objectives of the program, the population, providers, services and service delivery model used

This California Department of Health Care Services' (DHCS) first Health Homes Program (HHP) State Plan Amendment (SPA) was for the Group 1 County of San Francisco and the population criterion of SMI or SED. Additional counties for Group 2 of Riverside and San Bernardino were added in SPA 19-0002 for the same population criterion of SMI or SED. Additional counties for Group 3 of Alameda, Kern, Los Angeles, Imperial, Sacramento, San Diego, Santa Clara and Tulare were added in SPA 19-0013 for the same population criterion of SMI or SED. Group 4 County of Orange is being added in this SPA 20-0002 for the same population criterion of SMI or SED. The HHP will utilize the Medi-Cal Managed Care (MCMC) infrastructure. Managed Care Plans (MCPs) will be responsible for the overall administration of the HHP. The HHP will be structured as a HHP network including MCP, one or more Community Based Care Management Entities (CB-CMEs), linkages to Medi-Cal Specialty Mental Health Plans, Community and Social Support Services. The HHP benefit authorized herein, will operate in conjunction with, and is subject to the terms of, the State's approved Section 1115 Demonstration, including any approved waiver of freedom-of-choice that enables the state to limit the HHP benefit to the MCMC Delivery System. The goals for HHP are: improve care coordination, integrate palliative care, strengthen community linkages and team-based care, improve the health outcomes of HHP members, and wrap increased care coordination around existing care as close to the member's usual point of care delivery as possible in the community. DHCS Objectives include: ensure sufficient provider infrastructure and capacity to implement HHP as an entitlement benefit, ensure HHP providers appropriately serve members experiencing homelessness, and increase integration of physical & behavioral health services. Group 1 County of San Francisco implemented SMI or SED January 1, 2019. Group 2 counties of Riverside and San Bernardino implemented SMI or SED July 1, 2019. Group 3 counties of Alameda, Kern, Los Angeles, Imperial, Sacramento, San Diego, Santa Clara and Tulare implemented January 1, 2020. Group 4 County of Orange will implement July 1, 2020.

General Assurances

- ☒ The state provides assurance that eligible individuals will be given a free choice of Health Homes providers.
- ☒ The states provides assurance that it will not prevent individuals who are dually eligible for Medicare and Medicaid from receiving Health Homes services.
- ☒ The state provides assurance that hospitals participating under the state plan or a waiver of such plan will be instructed to establish procedures for referring eligible individuals with chronic conditions who seek or need treatment in a hospital emergency department to designated Health Homes providers.
- ☒ The state provides assurance that FMAP for Health Homes services shall be 90% for the first eight fiscal quarters from the effective date of the SPA. After the first eight quarters, expenditures will be claimed at the regular matching rate.
- ☒ The state provides assurance that it will have the systems in place so that only one 8-quarter period of enhanced FMAP for each health homes enrollee will be claimed.
- ☒ The state provides assurance that there will be no duplication of services and payment for similar services provided under other Medicaid authorities.

Health Homes Geographic Limitations

MEDICAID | Medicaid State Plan | Health Homes | CA2020MS0001O | CA-20-0002 | California Health Homes Program Serious Mental Illness (SMI) or Serious Emotional Disturbance (SED)

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Submission Type Official
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System-Derived

SPA ID CA-20-0002
Initial Submission Date 3/2/2020
Effective Date 7/1/2020

Reviewable Unit Instructions

- ☐ Health Homes services will be available statewide
- ☒ Health Homes services will be limited to the following geographic areas
- ☐ Health Homes services will be provided in a geographic phased-in approach

Specify the geographic limitations of the program

- ☐ By county
- ☐ By region
- ☐ By city/municipality
- ☒ Other geographic area

Describe the area(s): By County:
San Francisco 1/1/2019
Riverside 7/1/2019
San Bernardino 7/1/2019
Alameda 1/1/20
Kern 1/1/20
Los Angeles 1/1/20
Imperial 1/1/20
Sacramento 1/1/20
San Diego 1/1/20
Santa Clara 1/1/20
Tulare 1/1/20
Orange 7/1/20

PRA Disclosure Statement: According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-1188. The time required to complete this information collection is estimated to average 40 hours per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850.

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